

**BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

Order Instituting Rulemaking to Continue Implementation and  
Administration of California Renewables Portfolio Standard  
Program.

Rulemaking 11-05-005  
(Filed May 5, 2011)

**Gexa Energy California, LLC**

**2013 Preliminary Annual 33% RPS Compliance Report**

Reporting progress towards meeting the procurement quantity requirements for California's RPS  
Program in the first compliance period (2011-2013)

July 30, 2014

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**RPS Compliance Report: 2011 Annual Summary**

2011 Annual Summary
30-Jul-14

RPS Summary Report	2011	
	MWh	% of Total Retail Sales
Total Retail Sales	0	n/a
Annual RPS Target	0	0.0%
Total RPS Eligible RECs Procured	0	0.0%
Total RPS Eligible RECs Retired for Compliance	0	0.0%

**RPS Procurement Resource Mix**

RPS Procurement	2011	
	MWh	% of Total RPS Procurement
Biomass	0	0.0%
Digester Gas	0	0.0%
Biodiesel	0	0.0%
Landfill Gas	0	0.0%
Muni Solid Waste	0	0.0%
<i>Biopower Subtotal</i>	0	0.0%
Geothermal	0	0.0%
Small Hydro	0	0.0%
Conduit Hydro	0	0.0%
Solar PV	0	0.0%
Solar Thermal	0	0.0%
Wind	0	0.0%
Ocean/Tidal	0	0.0%
Fuel Cells	0	0.0%
<b>Total RPS Eligible Procurement</b>	0	

**Claimed Portfolio Content Category of RPS Procurement**

Portfolio Content Category	2011	
	MWh	% of Total RPS Procurement
RECs Procured from PCC 0 Eligible Resources	0	0.0%
RECs Procured from PCC 1 Eligible Resources	0	0.0%
RECs Procured from PCC 2 Eligible Resources	0	0.0%
RECs Procured from PCC 3 Eligible Resources	0	0.0%
	0	

**RPS Compliance Report: 2012 Annual Summary**

2012 Annual Summary
30-Jul-14

RPS Summary Report	2012	% of Total Retail Sales
	MWh	
Total Retail Sales	0	n/a
Annual RPS Target	0	0.0%
Total RPS Eligible RECs Procured	0	0.0%
Total RPS Eligible RECs Retired for Compliance	0	0.0%

**RPS Procurement Resource Mix**

RPS Procurement	2012	% of Total RPS Procurement
	MWh	
Biomass	0	0.0%
Digester Gas	0	0.0%
Biodiesel	0	0.0%
Landfill Gas	0	0.0%
Muni Solid Waste	0	0.0%
<i>Biopower Subtotal</i>	0	0.0%
Geothermal	0	0.0%
Small Hydro	0	0.0%
Conduit Hydro	0	0.0%
Solar PV	0	0.0%
Solar Thermal	0	0.0%
Wind	0	0.0%
Ocean/Tidal	0	0.0%
Fuel Cells	0	0.0%
<b>Total RPS Eligible Procurement</b>	0	

**Claimed Portfolio Content Category of RPS Procurement**

Portfolio Content Category	2012	% of Total RPS Procurement
	MWh	
RECs Procured from PCC 0 Eligible Resources	0	0.0%
RECs Procured from PCC 1 Eligible Resources	0	0.0%
RECs Procured from PCC 2 Eligible Resources	0	0.0%
RECs Procured from PCC 3 Eligible Resources	0	0.0%
	0	

**RPS Compliance Report: 2013 Annual Summary**

2013 Annual Summary
30-Jul-14

	2013	
RPS Summary Report	MWh	% of Total Retail Sales
Total Retail Sales		n/a
Annual RPS Target		20.0%
Total RPS Eligible RECs Procured	69,018	
Total RPS Eligible RECs Retired for Compliance	69,018	

**RPS Procurement Resource Mix**

	2013	
RPS Procurement	MWh	% of Total RPS Procurement
Biomass	0	0.0%
Digester Gas	0	0.0%
Biodiesel	0	0.0%
Landfill Gas	0	0.0%
Muni Solid Waste	0	0.0%
<i>Biopower Subtotal</i>	0	0.0%
Geothermal	0	0.0%
Small Hydro	0	0.0%
Conduit Hydro	0	0.0%
Solar PV	0	0.0%
Solar Thermal	0	0.0%
Wind	69,018	100.0%
Ocean/Tidal	0	0.0%
Fuel Cells	0	0.0%
<b>Total RPS Eligible Procurement</b>	<b>69,018</b>	

**Claimed Portfolio Content Category of RPS Procurement**

	2013	
Portfolio Content Category	MWh	% of Total RPS Procurement
RECs Procured from PCC 0 Eligible Resources	0	0.0%
RECs Procured from PCC 1 Eligible Resources	35,036	50.8%
RECs Procured from PCC 2 Eligible Resources	17,875	25.9%
RECs Procured from PCC 3 Eligible Resources	16,107	23.3%
	69,018	

**RPS Compliance Report: 2011-2013 Compliance Period Summary**

2011-13 Compliance Period
30-Jul-14

RPS Summary Report	2011 MWh	2012 MWh	2013 MWh	CP TOTAL MWh	% of Total Retail Sales
Total Retail Sales	0	0	0	0	n/a
Annual RPS Targets and Compliance Period Procurement Requirement	0	0	0	0	20.0%
Total RPS Eligible RECs Procured	0	0	69,018	69,018	
Total RPS Eligible RECs Retired	0	0	69,018	69,018	

This amount represents total procurement quantity requirement

This amount represents quantity of RECs retired for this compliance period

**RPS Procurement Resource Mix**

RPS Procurement	2011 MWh	2012 MWh	2013 MWh	CP TOTAL MWh	% of Total RPS Procurement
Biomass	0	0	0	0	0.0%
Digestion Gas	0	0	0	0	0.0%
Biodiesel	0	0	0	0	0.0%
Landfill Gas	0	0	0	0	0.0%
Muni Solid Waste	0	0	0	0	0.0%
<b>Bioenergy Subtotal</b>	0	0	0	0	0.0%
Geothermal	0	0	0	0	0.0%
Small Hydro	0	0	0	0	0.0%
Conduit Hydro	0	0	0	0	0.0%
Solar PV	0	0	0	0	0.0%
Solar Thermal	0	0	0	0	0.0%
Wind	0	0	69,018	69,018	100.0%
Ocean/Tidal	0	0	0	0	0.0%
Fuel Cells	0	0	0	0	0.0%
<b>Total RPS Eligible Procurement</b>	0	0	69,018	69,018	

**Claimed Portfolio Content Category of RPS Procurement**

Portfolio Content Category	2011 MWh	2012 MWh	2013 MWh	CP TOTAL MWh	% of Total RPS Procurement
RECs Procured from PCC 0 Eligible Resources	0	0	0	0	0.0%
RECs Procured from PCC 1 Eligible Resources	0	0	35,036	35,036	50.8%
RECs Procured from PCC 2 Eligible Resources	0	0	17,875	17,875	25.9%
RECs Procured from PCC 3 Eligible Resources	0	0	16,107	16,107	23.3%
<b>Total</b>	0	0	69,018	69,018	

Data Reported by:  
Gexa Energy California, LLC  
July 30, 2014

## 2011-2020 Compliance Summary and Charts

[illegible]

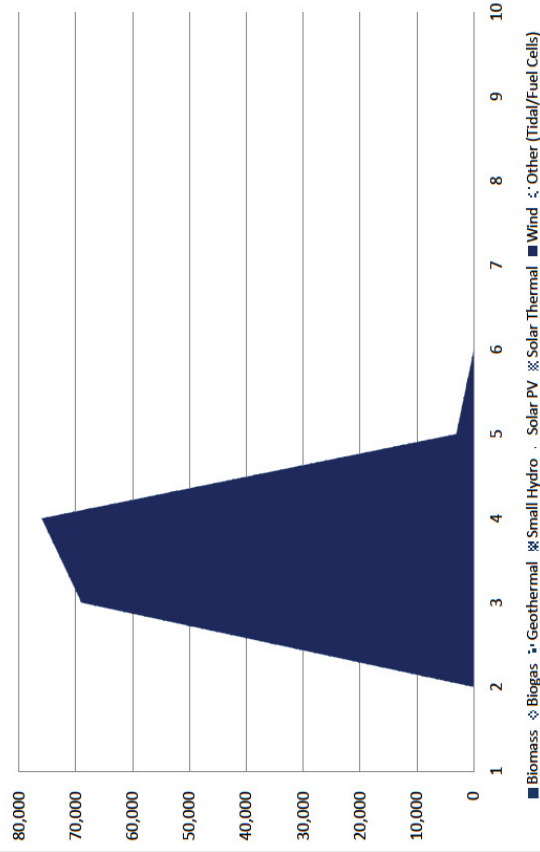
## Resource Mix

[illegible]

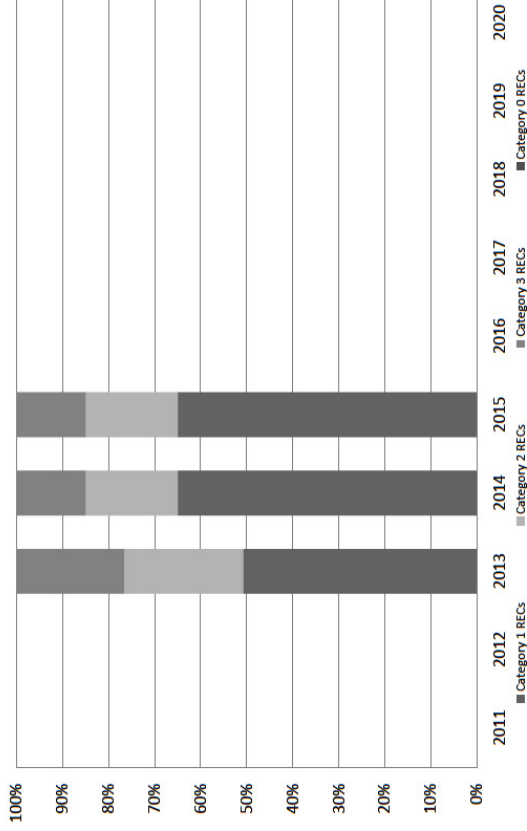
Portfolio Content Category Mix

	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020
Category 0 RECs	0	0	0	0	0	0	0	0	0	0
Category 1 RECs	0	0	35,036	49,423	2,012	0	0	0	0	0
Category 2 RECs	0	0	17,875	15,207	619	0	0	0	0	0
Category 3 RECs	0	0	16,107	11,405	464	0	0	0	0	0

Resource Mix of RPS Procurement



Portfolio Content Category Mix of RPS Procurement



	A	B	C	D	E	F	G	H	I	J	K	L
1												
2		Annual RPS Compliance Report: Compliance Status										
3		Data Reported by:										
4		Gexa Energy California, LLC										
5		July 30, 2014										
6												
7												
8												
9		Information from the 20% RPS Compliance Program (MWh)		Actual								
10		Total Retail Sales in 2010		0								
11		Net Surplus/(Deficit) from 20% RPS Closing Report		0								
12												
13												
14												
15												
16												
17		Annual RPS Procurement and Percentages (MWh)										
18		Annual Retail Sales		0	0				0	0	0	0
19		Annual RPS Procurement		0	0	69,018	76,036	3,096	0	0	0	0
20		Annual Percentage Targets		20.0%	20.0%	20.0%	21.7%	23.3%	25.0%	27.0%	29.0%	31.0%
21		Annual RPS Procurement Percentage		0.0%	0.0%					0.0%	0.0%	0.0%
22												
23												
24												
25		Procurement Quantity Requirement (MWh)										
26		Procurement Quantity Requirement (PQR)										
27		PCC 0 RECs Retired (Annual)		0	0	0	n/a	n/a	n/a	n/a	n/a	n/a
28		PCC 1 RECs Retired (Annual)		0	0	35,036	n/a	n/a	n/a	n/a	n/a	n/a
29		PCC 2 RECs Retired (Annual)		0	0	17,875	n/a	n/a	n/a	n/a	n/a	n/a
30		PCC 3 RECs Retired (Annual)		0	0	16,107	n/a	n/a	n/a	n/a	n/a	n/a
31		Annual Percentage Targets		20.0%	20.0%	20.0%	n/a	n/a	n/a	n/a	n/a	n/a
32		RECs Retired as Percentage of Retail Sales		0.0%	0.0%		n/a	n/a	n/a	n/a	n/a	n/a
33		Total RECs Retired (Compliance Period)			69,018			n/a			n/a	
34												
35												
36												
37												
38		Portfolio Balance Requirements (MWh)										
39		PCC 1 RECs Retired (Compliance Period)			35,036		n/a				n/a	
40		PCC 2 RECs Retired (Compliance Period)			17,875		n/a				n/a	
41		PCC 3 RECs Retired (Compliance Period)			16,107		n/a				n/a	
42		PCC 1 Balance Requirement					n/a				n/a	
43		Excess/(Shortfall) Retirements from PCC 1					n/a				n/a	
44		PCC 3 Balance Limitation					n/a				n/a	
45		Ineligible Category 3			0		n/a				n/a	
46												
47												
48												
49		Excess Procurement Calculation (MWh)										
50		PCC 0 RECs applied to PQR			0		n/a				n/a	
51		Long-Term PCC 1 RECs applied to PQR			0		n/a				n/a	
52		Short-Term PCC 1 RECs applied to PQR			35,036		n/a				n/a	
53		Long-Term PCC 2 RECs applied to PQR			0		n/a				n/a	
54		Short-Term PCC 2 RECs applied to PQR			17,875		n/a				n/a	
55		Long-Term PCC 3 RECs applied to PQR			0		n/a				n/a	
56		Short-Term PCC 3 RECs applied to PQR			16,107		n/a				n/a	
57		Excess RECs Eligible for Banking			0		n/a				n/a	
58		Excess PCC 0 RECs			0		n/a				n/a	
59		Excess PCC 1 RECs			0		n/a				n/a	
60		Excess PCC 2 RECs			0		n/a				n/a	
61		Remaining Excess RECs Eligible for Banking and Requiring a Category Assignment			0		n/a				n/a	
62												
63												
64												
65		Excess Procurement Bank (MWh)										
66		PCC 0 Bank			0		n/a				n/a	
67		PCC 1 Bank			0		n/a				n/a	
68		PCC 2 Bank			0		n/a				n/a	
69		Application of PCC 0 Banked RECs			0		n/a				n/a	
70		Application of PCC 1 Banked RECs			0		n/a				n/a	
71		Application of PCC 2 Banked RECs			0		n/a				n/a	
72		Cumulative PCC 0 Bank			0		n/a				n/a	
73		Cumulative PCC 1 Bank			0		n/a				n/a	
74		Cumulative PCC 2 Bank			0		n/a				n/a	
75												
76												
77												
78		RPS Compliance Status (MWh)										
79		Additional RECs Needed to meet RPS Procurement Quantity Requirement			1		n/a				n/a	
80												
81												
82		Provisional 20% Closing Report										
83		Deficit from Provisional 20% RPS Closing Report			N/A							
84		PCC 0 RECs applied to PQR			0							
85		Long-Term PCC 1 RECs applied to PQR			0							
86		Short-Term PCC 1 RECs applied to PQR			35,036							
87		Long-Term PCC 2 RECs applied to PQR			0							
88		Short-Term PCC 2 RECs applied to PQR			17,875							
89		Long-Term PCC 3 RECs applied to PQR			0							
90		Short-Term PCC 3 RECs applied to PQR			16,107							
91		Application of PCC 0 RECs to 20% Closing Report Deficit			0							
92		Application of Long-term PCC 1 RECs to 20% Closing Report Deficit			0							
93		Application of Short-term PCC 1 RECs to 20% Closing Report Deficit			0							
94		Application of Long-term PCC 2 RECs to 20% Closing Report Deficit			0							
95		Application of Short-term PCC 2 RECs to 20% Closing Report Deficit			0							
96		Application of Long-term PCC 3 RECs to 20% Closing Report Deficit			0							
97		Application of Short-term PCC 3 RECs to 20% Closing Report Deficit			0							
98		Cumulative Closing Report Deficit			N/A							











Data Reported by:  
Gexa Energy California, LLC  
July 30, 2014

Input Required	Actual Data	Forecasted Data



Annual RPS Compliance Report: (2011) 36 Month Retirement

Data Reported by:  
Gexa Energy California, LLC  
July 30, 2014

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Input Required  
Information is Hard Coded (No Input Required)

Note : Please create one line per combination of REC vintage and REC retirement date. For example RECs that have the same vintage are retired on two separate dates, please include one line per retirement date. Likewise if RECs of different vintages (e.g., June 2013 and July 2013) are retired on the same date, please include one line per vintage.

CPUC ID	RPS ID	WREGIS_ID	Facility Name	Vintage Month/Year	Retirement Date (DD/MM/YYYY)	Last Month to Retire REC (Month/Year)	Do RECs "pass" or "Fail" Requirements of 36 Month Rule:	MWh REC Quantity Retired

Annual RPS Compliance Report: (2012) 36 Month Retirement

Data Reported by:  
Gexa Energy California, LLC  
July 30, 2014

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Input Required  
Information is Hard Coded (No Input Required)

Note : Please create one line per combination of REC vintage and REC retirement date. For example RECs that have the same vintage are retired on two separate dates, please include one line per retirement date. Likewise if RECs of different vintages (e.g., June 2013 and July 2013) are retired on the same date, please include one line per vintage.

CPUC ID	RPS ID	WREGIS_ID	Facility Name	Vintage Month/Year	Retirement Date (DD/MM/YYYY)	Last Month to Retire REC (Month/Year)	Do RECs "Pass" or "Fail" Requirements of 36 Month Rule:	MWh REC Quantity Retired

Annual RPS Compliance Report: (2013) 36 Month Retirement

Data Reported by:  
Gexa Energy California, LLC  
July 30, 2014


Input Required  
Information is Hard Coded (No Input Required)

**Note :** Please create one line per combination of REC vintage and REC retirement date. For example RECs that have the same vintage are retired on two separate dates, please include one line per retirement date. Likewise if RECs of different vintages (e.g. June 2013 and July 2013) are retired on the same date, please include one line per vintage.

CPUC ID	RPS ID	WREGIS_ID	Facility Name	Vintage Month/Year	Retirement Date (DD/MM/YYYY)	Last Month to Retire REC (Month/Year)	Do RECs "Pass" or "Fail" Requirements of 36 Month Rule:	MWh REC Quantity Retired
	61378A	W1952	Birch Creek Power - Birch Creek Power	Feb-12	6/18/10	Jan-2015	PASS	1082
	61381A	W2011	Dry Creek Project - Dry Creek Project	Feb-12	6/18/10	Jan-2015	PASS	452
	61380A	W2009	Marsh Valley Project - Marsh Valley Project	Feb-12	6/18/10	Jan-2015	PASS	689
	61378A	W1952	Birch Creek Power - Birch Creek Power	Mar-12	6/18/10	Feb-2015	PASS	1189
	61381A	W2011	Dry Creek Project - Dry Creek Project	Mar-12	6/18/10	Feb-2015	PASS	546
	61380A	W2009	Marsh Valley Project - Marsh Valley Project	Mar-12	6/18/10	Feb-2015	PASS	880
	61378A	W1952	Birch Creek Power - Birch Creek Power	Apr-12	6/18/10	Mar-2015	PASS	1
	60944A	W1458	Vansycle II - Vansycle II	Jan-13	5/29/10	Dec-2015	PASS	21879
	60944A	W1458	Vansycle II - Vansycle II	Feb-13	6/18/10	Dec-2015	PASS	1
	60944A	W1458	Vansycle II - Vansycle II	Feb-13	5/29/10	Jan-2016	PASS	25497
	60944A	W1458	Vansycle II - Vansycle II	Mar-13	6/18/10	Jan-2016	PASS	738
	60944A	W1458	Vansycle II - Vansycle II	Apr-13	5/29/10	Feb-2016	PASS	614
	60944A	W1458	Vansycle II - Vansycle II	May-13	6/18/10	Mar-2016	PASS	9
	60944A	W1458	Vansycle II - Vansycle II	Jun-13	5/29/10	Apr-2016	PASS	4
	60944A	W1458	Vansycle II - Vansycle II	Aug-13	6/18/10	May-2016	PASS	89
	60944A	W1458	Vansycle II - Vansycle II	Sep-13	6/18/10	Jul-2016	PASS	1
	60944A	W1458	Vansycle II - Vansycle II	Oct-13	6/18/10	Aug-2016	PASS	3329
	60944A	W1458	Vansycle II - Vansycle II	Nov-13	5/29/10	Sep-2016	PASS	178
	60944A	W1458	Vansycle II - Vansycle II	Dec-13	6/18/10	Oct-2016	PASS	422
	60944A	W1458	Vansycle II - Vansycle II	Jan-14	5/29/10	Nov-2016	PASS	2
	60944A	W1458	Vansycle II - Vansycle II	Feb-14	6/18/10	Dec-2016	PASS	4495
	60944A	W1458	Vansycle II - Vansycle II	Mar-14	5/29/10	Jan-2017	PASS	6921

**OFFICER VERIFICATION FORM**

I am an officer of the reporting corporation herein, and am authorized to make this verification on its behalf. The statements in the foregoing document are true of my own knowledge, except as to matters which are therein stated on information or belief, and as to those matters I believe them to be true. The spreadsheet format used to file this compliance report has not been altered from the version issued or approved by Energy Division.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on July 30<sup>th</sup>, 2014 at Houston, Texas.

(Date) (Name of city) (State)  
Aundrea Williams

(Aundrea Williams, Assistant Vice President, Regulatory)